

Transformation matrix in 3D.

$$A = \begin{bmatrix} a & b & c & p \\ d & e & f & q \\ g & h & i & r \\ l & m & n & s \end{bmatrix} = \begin{bmatrix} T & K \\ Z & \theta \end{bmatrix} \quad \text{where}$$

$T = \begin{bmatrix} a & b & c \\ d & e & f \\ g & h & i \end{bmatrix}$ produces linear transformations: scaling, shearing, reflection & rotation.

$K = [p \ q \ r]^T$, produces translation

$Z = [l \ m \ n]^T$, yields perspective transformation

$\theta = s$, is responsible for uniform scaling.

Translation

$$\begin{bmatrix} 1 & 0 & 0 & t_x \\ 0 & 1 & 0 & t_y \\ 0 & 0 & 1 & t_z \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

Scale

$$\begin{bmatrix} S_x & 0 & 0 & 0 \\ 0 & S_y & 0 & 0 \\ 0 & 0 & S_z & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}; \quad \begin{aligned} x' &= x \cdot S_x \\ y' &= y \cdot S_y \\ z' &= z \cdot S_z \end{aligned}$$

shear

$$\begin{bmatrix} 1 & 0 & S_{hx} & 0 \\ 0 & 1 & S_{hy} & 0 \\ 0 & S_{hz} & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

Origin is unaffected by scaling & shear. It can be translated.

scaling w.r.t to fixed pt. (x_f, y_f, z_f)

$$T(x_f, y_f, z_f) \cdot S(S_x, S_y, S_z) \cdot T(-x_f, -y_f, -z_f)$$

$$= \begin{bmatrix} S_x & 0 & 0 & (1-S_x)x_f \\ 0 & S_y & 0 & (1-S_y)y_f \\ 0 & 0 & S_z & (1-S_z)z_f \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

Inverse scaling is obtained by taking reciprocals of scale parameters.

Reflection

$$T_{xy} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

produce reflection about xy plane

$$T_{yz} = \begin{bmatrix} -1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

reflⁿ about yz plane

$$T_{zx} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

reflection
about zx plane

Rotation

$x \rightarrow y \rightarrow z \rightarrow x$

$$R_z(\theta) = \begin{bmatrix} \cos\theta & -\sin\theta & 0 & 0 \\ \sin\theta & \cos\theta & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = R_z(\theta) \cdot \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

$$x' = x \cos\theta - y \sin\theta$$

$$y' = x \sin\theta + y \cos\theta$$

$$z' = z$$

Reflection

$$T_{xy} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

produce reflection about xy plane

$$T_{yz} = \begin{bmatrix} -1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

reflⁿ about yz plane

$$T_{zx} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

reflection
about zx plane

Rotation

$x \rightarrow y \rightarrow z \rightarrow x$

$$R_z(\theta) = \begin{bmatrix} \cos \theta & -\sin \theta & 0 & 0 \\ \sin \theta & \cos \theta & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = R_z(\theta) \cdot \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

$$x' = x \cos \theta - y \sin \theta$$

$$y' = x \sin \theta + y \cos \theta$$

$$z' = z$$

Rotation along x -axis

$$R_x(\theta) = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos\theta & -\sin\theta & 0 \\ 0 & \sin\theta & \cos\theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

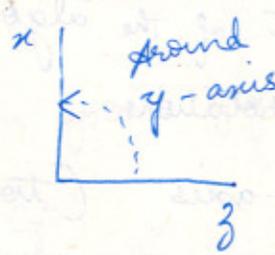
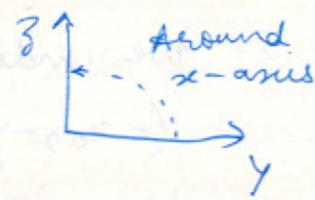
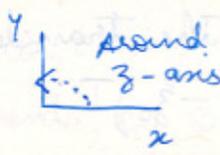
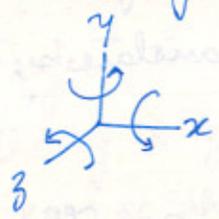
$$\begin{aligned} y' &= y \cos\theta - z \sin\theta \\ z' &= y \sin\theta + z \cos\theta \\ x' &= x \end{aligned}$$

Rotation along y -axis

$$R_y(\theta) = \begin{bmatrix} \cos\theta & 0 & \sin\theta & 0 \\ 0 & 1 & 0 & 0 \\ -\sin\theta & 0 & \cos\theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

$$\begin{aligned} z' &= z \cos\theta - x \sin\theta \\ x' &= z \sin\theta + x \cos\theta \\ y' &= y \end{aligned}$$

Why is the sign reversed in $R_y(\theta)$?



if we put $\cos\theta$ 0 $-\sin\theta$ we would have forced the rotation from x to z & not z to x that is why the 3rd row has a ~~+~~ -ve sign.

$$\begin{bmatrix} \cos\theta & 0 & \sin\theta & 0 \\ 0 & 1 & 0 & 0 \\ -\sin\theta & 0 & \cos\theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{matrix} \leftarrow x \\ \leftarrow y \\ \leftarrow z \end{matrix}$$

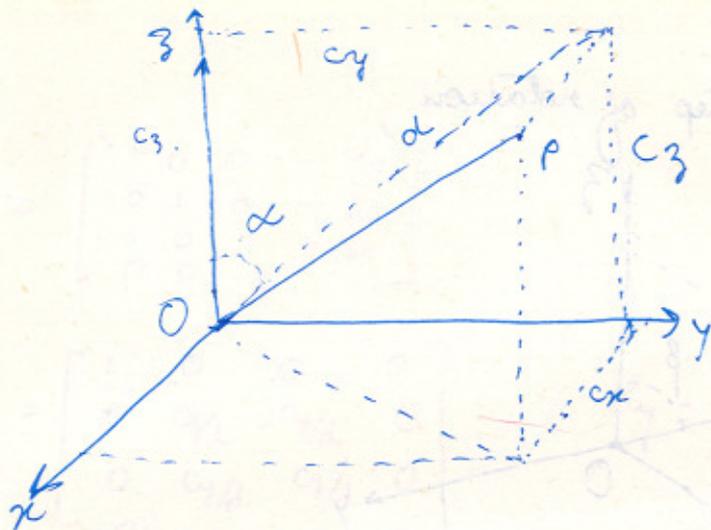
Rotⁿ about an arbitrary axis in space

Assume, we want to perform a rotation by θ degrees, about an axis in space passing through the point (x_0, y_0, z_0) with direction cosines (c_x, c_y, c_z) .

1. First of all translate by $|T| = -(x_0, y_0, z_0)^T$
- 2. Next, we rotate the axis into one of the principle axes, let's pick, z ($|R_x|, |R_y|$).
3. We rotate next by θ degrees in z ($|R_z(\theta)|$).
4. Then we undo the rotations to align the axis.
5. We undo the translation: translate by $(-x_0, -y_0, -z_0)^T$

The tricky part of the algo is step 2. This is going to take 2 rotations:

- i) about x -axis (to place the axis in the xz plane)
- &
- ii) about y -axis (to place the result coincident with z -axis).



First step of rotation

OP is a line about which rotation is to take place

Rotation about x by α

Determination of α ?

c_z, c_y & d is a right \triangle .

Project the unit vector, along OP, into the yz plane, the y & z components, c_y & c_z are the direction cosines of the unit vector along the arbitrary axis.

It can be seen from fig that c_z, c_y & d form a right \triangle .

$$d = \sqrt{(c_y^2 + c_z^2)}$$

$$\cos \alpha = c_z / d$$

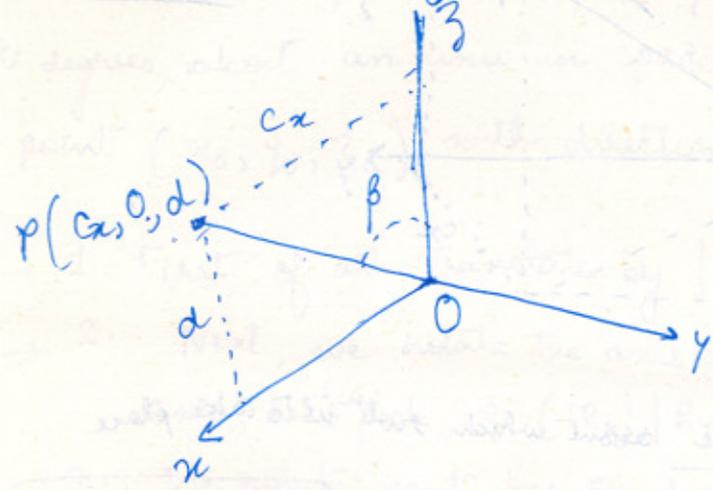
$$\sin \alpha = c_y / d$$

$$\alpha = \sin^{-1} \left[\frac{c_y}{\sqrt{c_y^2 + c_z^2}} \right]$$

After the 1st rotation

OP would be lying along the yz plane.

after 1st step of rotation,



$$\sqrt{c^2 + d^2} = 1$$

$\therefore OP$ is a unit vector,

Rotⁿ about y by β :

- Determine the angle β to rotate the result into the z-axis
- The x component is c_x & z component is d .

$$\cos \beta = d = d / (\text{length of unit vector})$$

$$\sin \beta = c_x = c_x / (\text{length of unit vector}). \quad (-c_x)$$

Final transformation for 3D rotation, about an arbitrary axis:

$$M = |T| |R_x| |R_y| |R_z| |R_y|^{-1} |R_x|^{-1} |T|^{-1}$$

where,

$$T = \begin{bmatrix} 1 & 0 & 0 & -x_0 \\ 0 & 1 & 0 & -y_0 \\ 0 & 0 & 1 & -z_0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

$$R_x = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & c_y/d & -c_y/d & 0 \\ 0 & c_z/d & c_z/d & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

$$R_y = \begin{bmatrix} d & 0 & -c_x & 0 \\ 0 & 1 & 0 & 0 \\ c_x & 0 & d & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

$$R_z = \begin{bmatrix} \cos \theta & -\sin \theta & 0 & 0 \\ \sin \theta & \cos \theta & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

Since rot^{ns} are orthogonal matrices \therefore Inverse rotⁿ are given by transpose matrices resp.

$$M = |T| |R_x| |R_y| |R_z| |R_y|^{-1} |R_x|^{-1} |T|^{-1}$$

$$= [T R_x R_y] [R_z] [T R_x R_y]^{-1}$$

$$= C [R_z] C^{-1}$$

A special case of 3D rotation:

Rotation about an axis parallel to a coordinate axis (say parallel to x-axis);

$$M_x = |T| |R_x| |T|^{-1}$$

If you are given 2 pts instead (on the axis of rotation) you can calculate the direction cosines of the axis as follows:

$$V = \left| (x_1 - x_0) (y_1 - y_0) (z_1 - z_0) \right|^T$$

$$C_x = (x_1 - x_0) / |V|$$

$$C_y = (y_1 - y_0) / |V|$$

$$C_z = (z_1 - z_0) / |V|$$

where $|V|$ is the length of the vector V .

$$[C_x \ C_y \ C_z] = \frac{(x_1 - x_0) (y_1 - y_0) (z_1 - z_0)}{\sqrt{(x_1 - x_0)^2 + (y_1 - y_0)^2 + (z_1 - z_0)^2}}$$

$$C_x = \frac{x_1 - x_0}{|V|}$$

* $|V| =$

The unit vector $u(\text{or})$ is defined along the rotⁿ axis as,

$$u = \frac{V}{|V|} = (C_x, C_y, C_z)$$

Reflection through an arbitrary plane

method is similar to rotation about an arbitrary axis.

$$M = |T| |R_x| |R_y| |R_{fl}| |R_y|^{-1} |R_x|^{-1} |T|^{-1}$$

T does the job of translating the origin to the plane.

R_x & R_y will rotate the vector normal to the reflection plane (at the origin), until it coincides with $+z$ axis.

R_{fl} is the reflection matrix about $x-y$ plane or $z=0$ plane.